## Open to the Public Investing, Inc. Fee Schedule

## **Commission Rates**

Stock	
US Listed & OTC Securities	\$0 commission for Open to the Public Investing, Inc. self-directed individual cash or margin brokerage accounts that trade U.S. listed securities via mobile device.
Broker Assisted Phone Trades	\$30/trade

## **Fees**

Transferring Funds	
Bank Transfer (ACH) – Incoming	\$0
Bank Transfer (ACH) – Outgoing	\$0
Domestic Wire Transfer	\$30
Domestic Overnight Check Delivery	\$35
Returned Check, ACH, Wire & Recall/Stop	\$30
Payments <sup>1</sup>	

Automated Customer Account Transfer Service		
ACAT – Incoming	\$0	
ACAT – Outgoing	\$75	

Account Maintenance Fees	
Retail Account Yearly Maintenance Fee	\$0
Electronic Statement & Confirms	\$0
Paper Statements	\$5
Paper Confirms	\$2
Domestic Overnight Mail	\$35
International Overnight Mail	\$50

<sup>&</sup>lt;sup>1</sup> Additional wire transfer reversal fees charged by any originating, intermediary, or recipient bank may apply.

Processing and service fees assessed by third parties may be passed through to the customer's account. Some products and services may require additional fees or transaction minimums not specifically listed here. Prior to placing a trade or requesting any services, it is the customer's responsibility to ask Open to the Public Investing if any additional fees will apply.

Miscellaneous	
Restricted Accounts	\$10 + commissions
Worthless Securities Processing	\$30 + other fees may apply
Tender Offer Order	\$50

Regulatory Trading Fees	
Regulatory	\$5.10 per \$1,000,000 of principal (sells only), rounded up to the nearest penny
TAF	\$0.000119 per share (equity sells) and \$0.002 per contract (options sells). This fee is rounded up to the nearest penny and no greater than \$5.95.